

## Keck NMR/IMDD

Description of Responsibility	Responsible Person(s) (Name/Title)		
	Primary (Required)	Secondary (Optional)	
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Elsie Myers, DBA	
2	Updating the Department Responsibility Matrix.	Elsie Myers, DBA	
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Sylvia Harris, Asst. DBA	Olivia Nixon, Financial Coord 2
2	Reviewing cost center verifications.	Cost Center Managers	Elsie Myers, DBA
3	Approving cost center verifications.	Cost Center Managers	Elsie Myers, DBA
4	Ensuring all cost centers are verified on a timely basis.	Elsie Myers, DBA	
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Chris Muscarello, Financial Coord.	Caleb Prestridge, Office Coord
2	Ensuring the validity of travel and expense reimbursements.	Hillary Roth, Financial Coord	
3	Ensuring that goods and services are received and that timely payment is made.	Chris Muscarello, Financial Coord.	Caleb Prestridge, Office Coord
4	Ensuring correct account coding on purchases documents.	Chris Muscarello, Financial Coord.	Caleb Prestridge, Office Coord
5	Primary contact for inquiries to expenditure transactions.	Chris Muscarello, Financial Coord.	Caleb Prestridge, Office Coord
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Reconciling leave records to time and effort reports.	Crystal Gonzalez Financial Coord	Maylin Thanudape Administrative Coordinator
2	Reconciling leave accruals to the payroll system.	Crystal Gonzalez Financial Coord	Maylin Thanudape Administrative Coordinator
3	Ensuring all time and effort reports are submitted to Payroll.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
4	Reconciling time and effort reports to check registers.	Crystal Gonzalez Financial Coord	Maylin Thanudape Administrative Coordinator
5	Completing termination clearance procedures.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
6	Ensuring terminated employees are no longer charged to departmental cost centers.	Crystal Gonzalez Financial Coord	Maylin Thanudape Administrative Coordinator
7	Paycheck distribution.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
8	Maintaining departmental Personnel files	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
9	Ensuring valid authorization of new hires.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
10	Ensuring valid authorization of changes in compensation rates.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
11	Ensuring the accurate input of changes to the payroll system.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
12	Propriety of leave account classification on time records.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
13	Consistent and efficient responses to inquiries.	Maylin Thanudape Administrative Coordinator	Crystal Gonzalez Financial Coord
<b>CASH HANDLING</b>			
1	Collecting cash, checks, etc.	Olivia Nixon, Financial Coord 2	
2	Reconciling cash, checks, etc. to receipts.	Hillary Roth, Financial Coord	
3	Preparing deposits.	Hillary Roth, Financial Coord	
4	Preparing Journal Entries.	Hillary Roth, Financial Coord	
5	Verifying deposits to the financial system.	Sylvia Harris, Asst. DBA	
6	Adequacy of physical safeguards.	Elsie Myers, DBA	
7	Transporting deposits to Student Financial Services.	Hillary Roth, Financial Coord (via call to UHPD)	
8	Ensuring deposits are made timely.	Olivia Nixon, Financial Coord 2 Hillary Roth, Financial Coord	Elsie Myers, DBA
9	Ensuring "Acknowledgement of Cash Handling Duties" form is completed by all appropriate employees.	Elsie Myers, DBA	
10	Consistent and efficient responses to inquiries.	Elsie Myers, DBA	
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	N/A	

2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
LONG DISTANCE / CELL PHONE CHARGES			
1	Forwarding employees long distance and cell phone charge reports.	NA	
2	Ensuring employees review their long distance and/or cell phone charge reports.	NA	
3	Ensuring personal calls are reimbursed within 10 days from the billing date.	Vacant, Office Asst 2	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures	Chris Muscarello, Financial Coord	Elsie Myers, DBA
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Mark Bushman, Property Custodian	Chris Muscarello, Financial Coord
2	Ensuring the annual inventory was completed correctly.	Mark Bushman, Property Custodian	Chris Muscarello, Financial Coord
3	Tagging equipment.	Chris Muscarello, Financial Coord	Mark Bushman, Property Custodian
4	Approving requests for removal of equipment from campus.	Mark Bushman, Property Custodian	Elsie Myers, DBA
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete a Related Party disclosure statement.	Olivia Nixon, Financial Coord 2	
2	Ensuring all full time, benefits eligible, exempt staff complete a Consulting disclosure statement.	Olivia Nixon, Financial Coord 2	
3	Ensuring that all Principal and Co-Principal Investigators complete a Conflict of Interest disclosure statement.	Olivia Nixon, Financial Coord 2	
ACCOUNTS RECEIVABLE			
1	Extending of credit.	Elsie Myers, DBA	
2	Billing.	Hillary Roth, Financial Coord	
3	Collection.	Hillary Roth, Financial Coord	
4	Recording.	Hillary Roth, Financial Coord	
5	Monitoring credit extended.	Elsie Myers, DBA	
6	Approving write-offs.	Elsie Myers, DBA	
NEGATIVE BALANCES			
1	Ensuring that all fund groups have positive fund equity at year-end.	Elsie Myers, DBA	
2	Ensuring that research expenditures are covered by funds from sponsors.	Elsie Myers, DBA	
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	Jerry Do, User Svs Spec 3	NSM IT Dept
2	Ensuring that critical data back up occurs.	Jerry Do, User Svs Spec 3	NSM IT Dept
3	Ensuring that procedures such as password controls are followed.	Jerry Do, User Svs Spec 3	NSM IT Dept
4	Reporting of suspected security violations.	Jerry Do, User Svs Spec 3	NSM IT Dept